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## Monocular Depth Estimation Methods using CNN and Transformer

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## **Abstract**

Depth information is an important attribute in a variety of domains including but not limited to self-driving, augmented reality, face unlocking etc. A variety of deep learning methods exist that aim to solve this problem using a single camera (monocular). Moreover, methods based on transformers dominate these methods in terms of acccuracy currently. We aim to present and analyse different methods to solve monocular depth estimation problem. We also aim to compare each method focusing especially on CNNbased and transformer-based methods. We show on a public dataset NYUDepth v2 that combining CNN and transformer gives the best performance. We propose two approaches in this direction. First, an end-to-end training of combined CNN and Transformer network. Second uses knowledge distillation of large transformer models to smaller CNNbased networks.

## 1. Introduction

Monocular Depth Estimation (MDE) is a widely studied problem that aims to estimate the depth of each pixel in the image using only one camera image (monocular) at a given time. This is quite natural for humans as we utilize additional information in the scene like the relative sizes of other known objects in the scene, the appearance of objects in varying lighting, shading and occlusions, surface textures and focal fields etc. However computing a depth estimation model is an ill-posed problem fundamentally because any 2D image could have been generated from an infinite range of 3D scenes. Computational models for Monocular depth estimation have traditionally used auxiliary information like object sizes and location, interaction of objects with occlusion and perspective and texture variations to name a few to estimate depth.

Monocular depth estimation It is important especially when stereo images are not available or other sensors like Lidar are impractical or costly. Depth estimation helps to understand the environment and helps to make better decisions. It has wide applications in augmented reality to give realistic views of the digital objects. Also, self-driving ve-066 hicles uses depth information to detect objects and avoid collisions.

There has been a lot of deep learning methods based on 070 CNN that tries to solve this problem. Recently, transformer<sup>071</sup> based models show promising accuracy in this domain. But 072 transformer-based model face a lot of challenges too. For 073 instance, they are difficult to train and tune. They require 074 a lot of data to converge. On the contrary, they provide 075 a global receptive field unlike CNNs. CNNs are gener-076 ally easy to train and converge faster. We aim to explore 077 and experiment with methods that use both CNN and trans-078 former based features simultaneously. Also, transformer<sup>079</sup> based models are many times big networks trained on large 080 amount of data to get state-of-the-art accuracies. But it 081 may not be practical to deploy such models on embedded<sup>082</sup> devices for real-time execution. Hence, we also propose 083 an approach to use knowledge distillation to transfer trans-084 former based learning to a smaller CNN-based network. We<sup>085</sup> experiment and analyse the accuracies by using such meth-086 ods.

We base our experiments on a widely used public dataset 089 called NYU-Depth V2 [16] dataset. The dataset com-090 prises of video sequences from a variety of indoor scenes 091 as recorded by both the RGB and Depth cameras from the 092 Microsoft Kinect. It features 1449 densely labeled pairs of 093 aligned RGB and depth images, 464 new scenes taken from 094 3 cities and 407,024 new unlabeled frames.

We propose two such approaches and show that they out-097 perform methods trained on single features. Our contribu-098 tions can be summed up as follows:

- 1. We show that training an end-to-end network with 101 CNN and transformer achieves better performance 102 than doing a multi-stage training.
- In cases, where end-to-end training is not practical, we104 show knowledge distillation can be a viable method105 to distill information from transformer model to small106 cnn based networks.

## 2. Related Work

## 2.1. CNN for monocular depth estimation

A lot of the initial MDE research was based on using CNNs. One of the seminal works in this field was by Eigen et al. [2]. They were the first to utilize a few fundamental components in the single image depth estimation pipeline which were later followed by many others. In addition to proposing the concept of directly regressing over each pixel, they had also proposed the approach of spliting the estimation into two: one which estimates the global structure of the input scene and ther other which refines this structure with local information. The introduction of scale invariant loss was also proposed to handle scale dependency of estimation error.

The ideas proposed by Eigen et.al were later taken up by Xu et al. [15] and Li et al[8]. with the addition of fusion of multiple semantic layers of the CNN within a Conditional Random Field (CRF) framework. Li et al. also proposed the use of multi-scale CRFs and a cascade of CRFs, one for each level.

## 2.2. Transformers for monocular depth estimation

One of the first attempts to use attention models for estimating depth from monocular images were by Xu et al.[18] where the structure from (Xu) [15] along with the use of a structured attention model where the information exchanged between embedding of different scales were controlled by the attention model. This particular approach operates on the feature-level and fuses features from different scales and enforces structure.

Another use of attention based models was in Yuru et al. [7] where a supervised attention-based Context Aggregation Network (ACAN) was proposed to estimate depth maps. The method uses deep residual architecture, dilated layer and self-attention modules for scale control with dense prediction. The use of the self-attention module helps in mapping the relation between every pixel which translate to the attention weights. Also another key feature is the use of image-pooling which combines image level information for the depth estimation.

Iterative approaches in MDE by Ranftl et al. [14][13] have used 3D movies as data source to learn from dataset with varying parameters of environments like scale, range of depth, aspect ratios etc. This had enabled the model to do zero-shot cross-dataset transfer learning. They went to propose hybrid and vision transformer based dense prediction of depth.

# 2.3. Combining CNN and Vision Transformer for monocular depth estimation

We further explored the idea proposed by Ranftl et al. in combining CNNs with transformers. Specifically in the hybrid model, non-overlapping patches of the input RGB im- $^{162}$  age is converted into tokens by passing the patches through  $^{163}$  a ResNet-50 feature extractor. These embeddings along  $^{164}$  with the positional embedding are they passed through mul- $^{165}$  tiple transformer stages. These tokens are then reassem- $^{166}$  bled at different resolutions into an image-like representation. The hybrid model extracts features at  $^{16}$  scale of in- $^{169}$  put resolution, which is a much deeper resolution than most  $^{169}$  methods with convolutional backbones.

Our reasoning for the use of CNNs and transformers in 171 this way is the presence of inductive bias and low sample 172 complexity in CNNs. CNNs would manage to produce a 173 good feature representation of the data with very few samples. These features when passed into a transformer and 175 trained with supervision should be able to match the accuracy of a purely transformer model with fewer data samples.

## 2.4. Teacher Student Network for supervised learn-<sup>179</sup> ing tasks <sup>180</sup>

Lowering model complexity and computation while hav-182 ing highly accurate outputs from all deep learning models<sub>183</sub> have been under exploration for a long time. Some of the 184 ways of simplifying the model have been model pruning[4]<sub>185</sub> and quantization [12]. We explore another strategy called 186 knowledge distillation proposed by Hinton et al. [5], which 187 aims to transfer knowledge from a heavier, more accu-188 rate teacher network onto a lighter student network. This 189 method was initially proposed for image classification and 190 have since then been diversified into other tasks like seman-191 tic segmentation, object detection and depth prediction[11].192 Initially distillation strategies revolved around distiling the 193 class probability distribution for each pixel. Shen et al. [9]<sub>194</sub> later propsed pair-wise and holistic distillation, which is a<sub>105</sub> more generic, structured knowledge distillation framework<sub>196</sub> for dense prediction. The holistic distillation consists of 197 conditional adversarial learning and the use of a discrimi-198 nator.

## 2.5. Datasets

Here we highlight some of the major datasets we came 202 across for training model for MDE. Such datasets have been 203 created using ground truth depth estimation mechanisms 204 like disparity, LiDAR, structured light among others.

NYU-v2 dataset was introduced in [16], containing 1449206 RGB images with dense depth labels. It contains a total207 of 407K frames of 464 scenes. KITTI dataset[3], has two208 versions with 394 road scenes having RGB stereo sets and209 GT depth maps. They have been captured using the Velo-210 dyne laser scanner. Pandora[1] contains 250K full resolu-211 tion RGB and depth images. SceneFlow[10] in one of the212 first large-scale synthetic datsets having 39K stereo images213 with corresponding disparity, depth, optical flow and seg-214 mentation masks. Additional datasets have been listed in215

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Table 1.

Dataset	Labelled images	Annotation	
NYU-v2	1449	RGBD + segmentation	
KITTI	94K	RGBD + optical flow	
Pandora	250K	RGBD	
SceneFlow	39K	RGBD + segmentation	
DIML Indoor[6]	220K	RGBD	
ReDWeb[17]	3600	stereo	

Table 1. Some of the datasets for monocular depth estimation

#### 3. Methods

## 3.1. Combining CNN and Transformer

We investigate the effectiveness of combining CNN and Transformer architecture for learning the task of monocular depth estimation in two ways. We investigate that this would leverage the deep representational learning of CNN and attention modules of Transformer to encode global context. We investigate two methods for fusion. In the former method, we train both the encoder and the transformer separately. Our hypothesis is that since the Vision Transformer is applied to sequential patches of image, it is better to keep the two components independent. The second architecture is the End to End architecture designed for dense prediction task where we fine-tune our CNN model and transformer model in an end to end fashion. Further details can be found in the experiment section.

## 3.2. Teacher Student Network

Knowledge Distillation is an approach to train smaller networks (student) using models trained on large amount of data (teacher). It has been observed that the model converges faster than if trained from scratch using the large amount of data. The reason for such behaviour is that the smaller model may not have enough representation capability to learn from the full data. Hence, it is helpful to transfer the learning using soft labels. Since, it may be impractical to train CNN-Transformed based models end-to-end, we propose knowledge distillation to capture the power of both transformer and CNN features. We try to distill information from a large transformer based model to a small CNN based model with significantly less number of parameters. This can also solve another problem that we would like to highlight here. The ground truth depth data has errors in the depth value as captured from the Kinect camera. This is due to the inherent sensor noises, limited range issues etc. Due to this, we can see black patches in the ground truth itself (figure ). Hence, it becomes difficult for the model to learn that missing information especially with a smaller network. The larger network is able to learn this with large amounts of data. Hence distilling that information can help to achieve better quality outputs. The loss function that we

used is as follows:

$$\mathcal{L}_{\text{depth}} = \alpha \sqrt{\frac{1}{T} \sum_{i} g_{i}^{2} - \frac{\lambda}{T^{2}} \left( \sum_{i} g_{i} \right)^{2}}$$

where  $g_i = \log \hat{d}_i - \log d_i$  with the ground truth depth  $d_{i_{277}}^{277}$  and the predicted depth  $\hat{d}_i$ . We set  $\lambda$  and  $\alpha$  to 0.85 and 10,278 same as [cite transdepth]

## 4. Experiments

## 4.1. Combining CNN and Transformer

The input image is first resized into 224 x 224 x 3. In our<sup>284</sup> results, we finetune the encoder ResNeXt-101 backbone on 285 NYU depth dataset. We found that using higher capacity<sup>286</sup> encoder like the one we have used performs significantly<sup>287</sup> better than the same encoder that was only trained on Ima-288 geNet. For combining the output of CNN with vision trans-289 former, we use the output from a CNN model (ResNet back-290 bone) and feed it into a vision transformer capable of pre-291 dicting dense output. The patch embedding layer is applied<sup>292</sup> to final feature output of the CNN. This patch embedding's 293 kernel should be pxp, which means that input sequence is<sup>294</sup> obtained by simply flattening the spatial dimensions of the<sup>295</sup> feature map and projecting to the Transformer's dimension.<sup>296</sup> The only difference between the two proposed methods is<sup>297</sup> that in the first one, the output of the encoder is trained sep-298 arately to predict the depth whereas the second trains the 299 combined network end to end. 301

#### 4.2. Teacher Student Network

We design the following experiments to implement and  $_{304}$  analyse this approach.  $_{305}$ 

- First, we fine-tune a small CNN based network [cite]<sub>307</sub> using 1449 labelled samples of NYUDepth v2 dataset.<sub>308</sub> This acts as the baseline for comparison.
- 2. Second, we take a pre-trained transformer network311 [13] and fine-tune the pretrained small CNN network312 using ground truth and the output of transformer net-313 work. We follow the architecture as described in the314 [figure]. We use two loss terms to help the model315 learn from ground-truth as well as the output of trans-316 former network. We try different loss functions to train317 the models.
- 3. Third, we also experiment with filling the missing in-320 formation in the ground-truth relying on the output of321 the transformer network and then fine tuning on the322 dense data obtained.

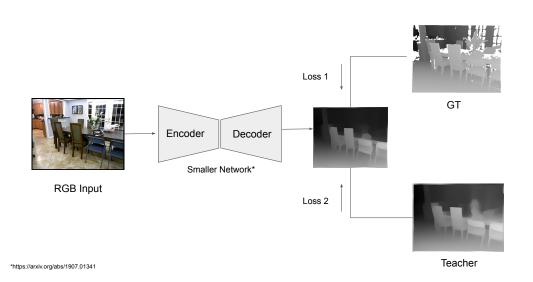
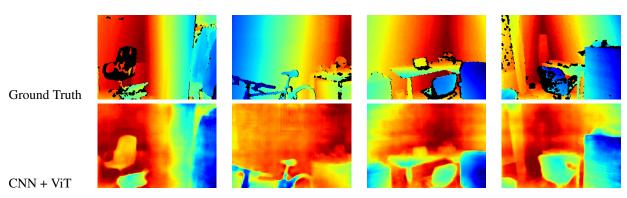


Figure 1. Teacher Student Network Architecture



## 4.3. Results and Discussion

## 4.3.1 CNN + Transformer method

In Figure 3.2, we can see that quite a lot of the ground truth have a series of black patches. This happens when for a certain region Kinect's speckle pattern emitter doesn't get back to the Kinect's IR camera. This can be due to a number of factors including the surface being too reflective or that surface not visible to the IR camera and pattern emitter at the same time. The CNN + ViT output shows that the model is able to predict a smooth output directly from the RGB image. Most of the surfaces in the images have the correct level of depth and has learnt to group close-by pixels in the RGB image, similar depth values. Although, this is a valid mapping for most surfaces and objects, it does not hold true always. For instance, the bike in the second example has different levels of depth at different parts of it which the models fails to assign.

we evaluate End to End training and Multi-Stage training

across all metrics. For metrics which rely on relative dis-412 tance between ground truth and predicted pixel values like413 LOG\_RMS and SILOG (Scale invariant logarithmic error)414 [2] given by:

$$D(y, y^*) = \frac{1}{n} \sum_{i} d_i^2 - \frac{1}{n^2} \left( \sum_{i} d_i \right)^2 \quad d_i = \log y_i - \log y_{*18}^{419}$$

where smaller value is better. On the other hand, metrics  $^{421}$  which measure accuracy with threshold t: percentage (%) $^{422}$  of  $d_i^*$  subject to  $^{2}$ ,

$$\max\left(\frac{d_i^*}{d_i}, \frac{\tilde{d}_i}{d_i^*}\right) = \delta < t\left(t \in \left[1.25, 1.25^2, 1.25^3\right]\right) \tag{2}^{426}$$

where a higher value is better. In Figure 2, we can see that 429 End to End training outperforms Multi-Stage architecture in 430 all metrics.

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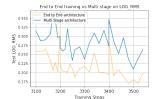
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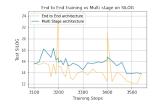
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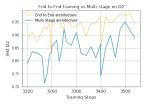


Figure 2. Quantative results comparing End to End architecture vs Multi Stage architecture

#### 4.3.2 Teacher Student Network

The results can be seen in table 2. The Baseline Finetuned model is the Midas based CNN network finetuned on NYUDepth v2 dataset. We see that it is able to achieve RMSE of 0.619 and Delta1 of 0.701. This acts as the baseline for the other experiments that we wish to perform. The second model "Finetuned w/ Teacher" is the model trained with Teacher supervision on the same CNN network. We used the loss function as described in the Section 3.2 and architecture as described in 1. We tried different weights to add both the losses. The best is shown in table as RMSE of 1.151 and Delta1 of 0.417. Ideally, we hoped to achieve better performance using knowledge distillation but the results are contrary to that. We believe that this could happen because of the less data used for fine-tuning the model. Since, the loss is changed than the pretrained model was trained on, it might take a little more data and time to converge. Some of the qualitative results were promising showing that the model did learn something. But more research and tuning might be required to make it better than the baseline. As we described earlier, the ground-truth contains error in depth values especially missing values. Hence, the models may not be able to learn those values if loss only calculates error with ground-truth. Hence, we tried another way to train the model. We filled the missing values with the output of the transformer based model. The intuition was to give explicit guidance to the network and use only one loss term. We hoped that it would make it easy for the model to learn slightly better. But as can be seen in the table, the model could only reach at the same level as using 2 loss terms. This suggests that that the benefit of filling the values was outweighed by not giving the full output of the transformer model to learn using an extra loss term.

Model	RMSE	Delta1	MAE
Baseline fine-tuned	0.619	0.701	0.470
Fine-tuned w/ Teacher	1.151	0.417	0.817
Filling unknown	1.181	0.407	0.907

Table 2. Results comparison among baseline and Teacher supervision on NYUDepth-v2

## 4.4. Conclusion

We analyse the effect of using CNN and transformer to-497 gether in the domain of Monocular Depth Estimation. We<sup>498</sup> show qualitatively and quantitatively that end-to-end learn-499 ing using CNN+Trasnformer achieves better performance. 500 We also show analysis of knowledge distillation using two<sup>501</sup> approaches. We distill the information of a larger trans-502 former network to a smaller CNN network and compare the 503 performance with the model finetuned directly on the data. 504 We also fill the missing values in the ground-truth with the 505 transformer output values and finetune the network. Ideally, 506 we hoped to show better performance but due to time, data<sup>507</sup> and GPU constraints, we were not able to beat the base-508 line. We aim to use larger data to finetune the network with<sup>509</sup> Teacher supervision. We also aim to experiment with more<sup>510</sup> knowledge distillation approaches apart from adding loss<sup>511</sup> terms. For instance, learning from multi-layer outputs of 512 513 the bigger model and not just the final output. 514

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